

12/04/02

28367

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TECHNOLOGY CENTER 2800

December 3, 2002

Commissioner of Patents
Washington, DC 20231

APPLICANT: William G. Wilhelm
SERIAL NO.: 08/820,496 (CPA)
FILING DATE: March 19, 1997
TITLE: HIGH EFFICIENCY LIGHTING SYSTEM
EXAMINER: F. Fleming
GROUP ART UNIT: 2836
OFFICE ACTION: July 3, 2002

Dear Sir:

Enclosed please find Amendment and Request for an Extension.
Fee Transmittal Form
Certificate of Mailing

Please acknowledge receipt on the enclosed postcard.

Respectfully yours,

Alfred M. Walker
Alfred M. Walker
Reg. No. 29,983

PAT27

I certify that this correspondence is being deposited with the United States Postal Service as Express Mail No.: EV 089662189 US in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231 on the date indicated below.

Date: December 3, 2002

Lisa H. Russo
Lisa H. Russo

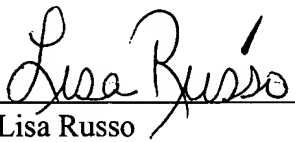
Wilhelm
08/820,496

Certificate of Mailing

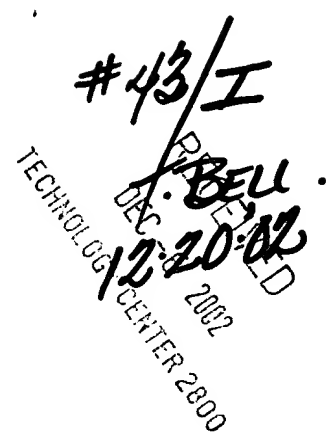
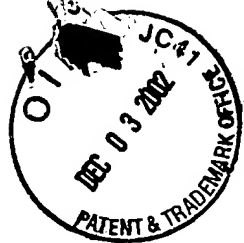
I hereby certify that the attached correspondence is being deposited with the
United States Postal Service as Express Mail No. EV 08966218905 addressed to:

Commissioner of Patents
Box Amendments
Washington, D.C. 20231,

on December 3, 2002



Lisa Russo



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William George Wilhelm
Ser. No. : 08/820,496 (CPA)
Filed : 03/19/97
For : High Efficiency Lighting System
Art Unit : 2836
Examiner : F. Fleming

AMENDMENT

Assistant Commissioner for Patents

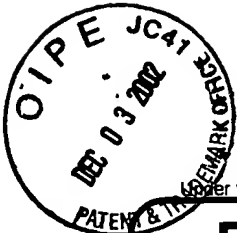
Washington, DC 20231

Sir:

This is in response to the Official Action dated July 3, 2002.

Please charge a fee of \$200 for a two month extension of time to respond to my account number 23-0120.

Please amend the application as follows:



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 200.00

Complete if Known

Application Number	08/820,496 (CPA)
Filing Date	MARCH 19, 1997
First Named Inventor	WILLIAM GEORGE WILHELM
Examiner Name	F. FLEMING
Art Unit	2836
Attorney Docket No.	NEXTEK-LIGHTING

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 23-0120
Deposit Account Name: ALFRED M. WALKER

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 740	2001 370	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 510	2003 255	Plant filing fee	
1004 740	2004 370	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
8	-20** = 0	X 0 = 0	
2	-3** = 0	X 0 = 0	
Multiple Dependent		0 = 0	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	200
1252 400	2252 200	Extension for reply within second month	
1253 920	2253 460	Extension for reply within third month	
1254 1,440	2254 720	Extension for reply within fourth month	
1255 1,960	2255 980	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,280	2453 640	Petition to revive - unintentional	
1501 1,280	2501 640	Utility issue fee (or reissue)	
1502 460	2502 230	Design issue fee	
1503 620	2503 310	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 740	2809 370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 740	2810 370	For each additional invention to be examined (37 CFR 1.129(b))	
1801 740	2801 370	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 200

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	ALFRED M. WALKER	Registration No. (Attorney/Agent)	29,983	Telephone	631-361-8737
Signature	<i>Alfred M. Walker</i>	Date	DECEMBER 3, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.